

| | | | |
|---|--|---|---|
| FORM PTO-1390 (REV 5-93) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER 13202.00377 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. 60/152,282 10/070165 |
| INTERNATIONAL APPLICATION NO. PCT/CA00/01001 | INTERNATIONAL FILING DATE 1 September 2000 (01.09.00) | PRIORITY DATE CLAIMED 3 September 1999 (03.09.99) | |
| TITLE OF INVENTION FLUID TREATMENT SYSTEM, RADIATION SOURCE ASSEMBLY AND RADIATION SOURCE MODULE | | | |
| APPLICANT(S) FOR DO/EO/US TROJAN TECHNOLOGIES INC. | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the application time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input checked="" type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | |
| Items 11. to 16. below concern other document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A change of power of attorney and/or address letter. 15. <input checked="" type="checkbox"/> Other : International Preliminary Exam. Report; Notif. Of Transmittal Of The International Search Report Or The Declaration; International Search Reported dated 1/25/01 | | | |

U.S. APPLICATION NO.
60/152,282

10/070165

INTERNATIONAL APPLICATION NO.
PCT/CA00/01001

ATTORNEY'S DOCKET NUMBER
13202.00377

17. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Search Report has been prepared by the EP or JPO \$890.00
International preliminary examination fee paid to USPTO
(37 CFR 1.492(a)(1)) \$000.00
No international preliminary examination fee paid to USPTO (37 CFR 1.492
(a)(1)) but international search fee paid to USPTO (37 CFR 1.492(a)(2)) \$000.00
Neither international preliminary examination fee (37 CFR 1.492(a)(1))
nor international search fee (37 CFR 1.492(a)(2)) paid to USPTO \$1,040.00
International preliminary examination fee paid to USPTO (37 CFR 1.492
(a)(4)) and all claims satisfied provisions of PCT Article 33(1)-(4) \$000.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$1,930.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 CFR 1.492(e)).

\$

| Claims | Number Filed | Number Extra | Rate | | |
|---|--------------|--------------|------------|----------|--|
| Total Claims | 19 | -20 = 0 | X \$18.00 | \$000.00 | |
| Independent Claims | 3 | -3 = 0 | X \$84.00 | \$000.00 | |
| Multiple dependent claim(s) (if applicable) | | | + \$280.00 | \$000.00 | |

TOTAL OF ABOVE CALCULATIONS =

\$1,930.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement
must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$ 965.00

SUBTOTAL =

\$ 965.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20
☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 000.00

TOTAL NATIONAL FEE =

\$ 965.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

TOTAL FEES ENCLOSED =

\$1,005.00

Amount to be:

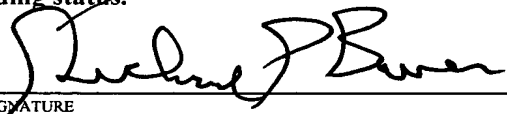
refunded \$

charged \$

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-1710 in the amount of \$ 1,005.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1710. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: Patent Administrator
Richard P. Bauer, Katten Muchin Zavis
525 West Monroe Street, Suite 1600
Chicago, Illinois 60661-3693
Facsimile: (312) 902-1061



SIGNATURE

Richard P. Bauer

March 4, 2002

NAME

31,588

DATE

REGISTRATION NUMBER